



# Getting spectral data when you don't have spectral measurements

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# Aim

- There are many cases where the desired colour reproduction is defined spectrally – e.g. for brand colours
- Moreover, in a color management workflow it is now possible to use spectral data as input or to get spectral data as output using iccMAX.
- In many situations spectral data is not available. Therefore it is helpful to find ways to estimate spectral data from colorimetric values such as XYZ
- Our goal is to provide good estimates of spectral reflectance from tristimulus values

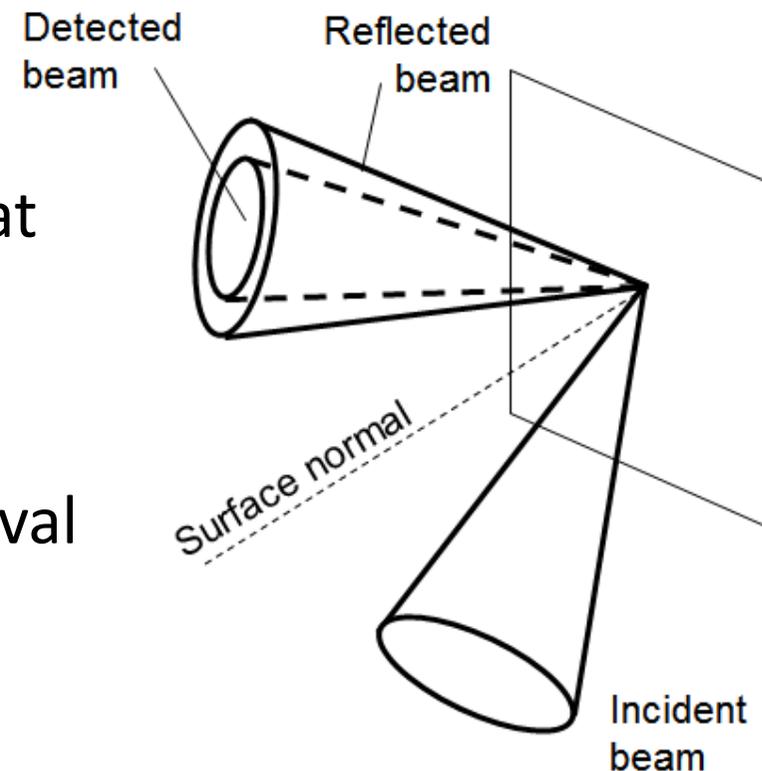


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# Reflectance factor

- “Ratio of the radiation reflected by a body delimited by a given cone to that reflected by the perfect reflecting diffuser identically irradiated or illuminated.” (ISO 13655)
- This reflectance factor defined at specific wavelength intervals over the visible range.

E.g. 380 to 780 nm at 1 nm interval

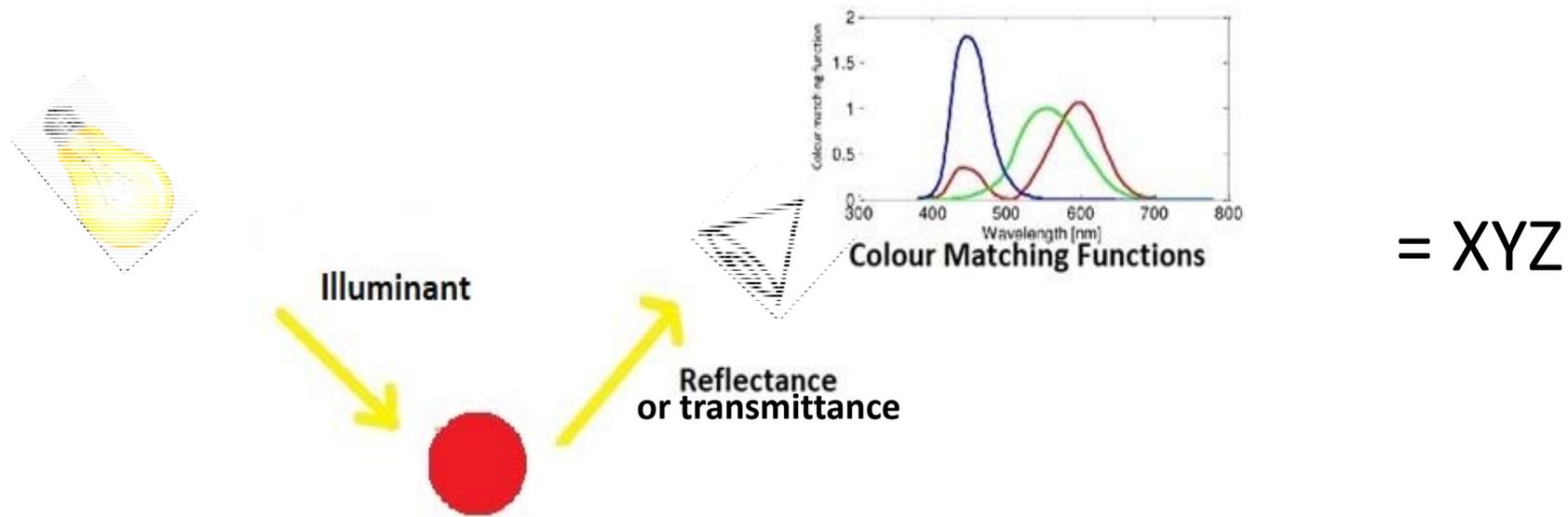




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# Spectral data to tristimulus values

- We obtain XYZ and CIELAB from spectral reflectance or transmittance.





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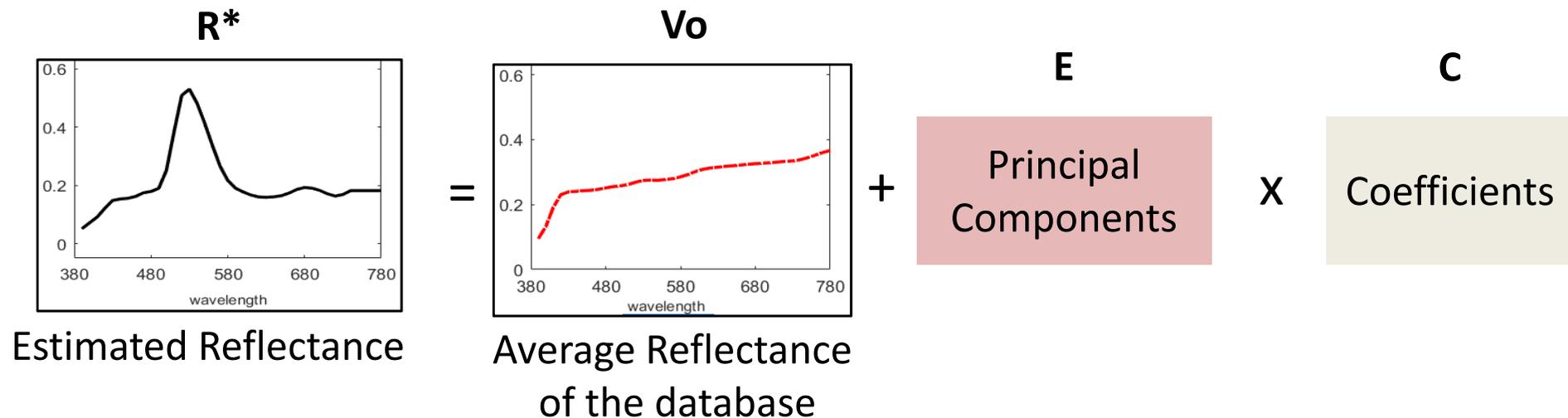
# Uses of spectral data

- Calculating colorimetric values
- Understanding the properties of an object independent of the source light or the viewing conditions
- Characterizing a printer with a physical model
- Spectral output of a printer helps avoid metameric matches
- Data hiding (E.g. Hiding watermarks)





# Estimated Reflectance



- Principal Components - The K eigenvectors having the highest associated eigenvalues which contain the variance data of the training reflectances.
- Coefficients – PC co-ordinates that weight the columns of E additively to estimate the residual between the original reflectance spectrum and the mean of all reflectance spectra.

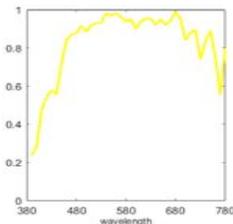


# Tristimulus constrained coefficients

- The PC coordinates for estimating reflectance from tristimulus values has to be tristimulus constrained.

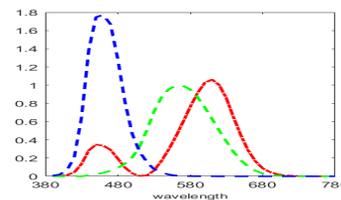
$$C = (A^T E)^{-1} (T - T_{avg})$$

A =



Illuminant

x

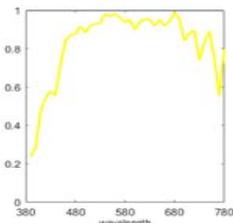


CMF

T = Tristimulus value

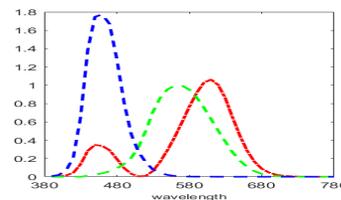
E = Principal Components

T<sub>avg</sub> =



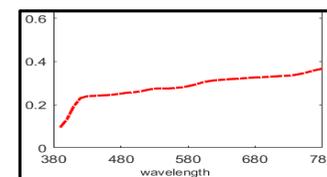
Illuminant

x



CMF

x



Average Reflectance

Tristimulus value of the average reflectance of the database



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# Weighted Reflectances

## 2. Spectral Estimation using weighted PCA [Agahian et. al]

$$d = 1 / ((\Delta E_{2000}(\underbrace{XYZrso}_{\text{Computed Using Training Reflectance}}, \underbrace{XYZt}_{\text{Test Tristimulus Value}}) + \underbrace{0.01}_{\text{To avoid division by zero}}))$$

Computed Using Training Reflectance

Test Tristimulus Value

To avoid division by zero

- **Weighted Training Reflectances =  $[d_1R_1, d_2R_2, \dots, d_iR_i]$**

$$R = E_0 + E((A^T E)^{-1}(T - A^T E_0))$$



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# Methods

## Workflow 1

Training  
Dataset 1

Calculate the  
Pcs

Estimate reflectance  
for test Dataset 1

Evaluate against the  
ground truth for test  
Dataset 1

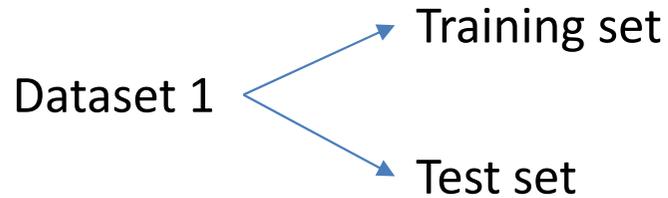
## Workflow 2

Training  
Dataset 2

Calculate the  
Pcs

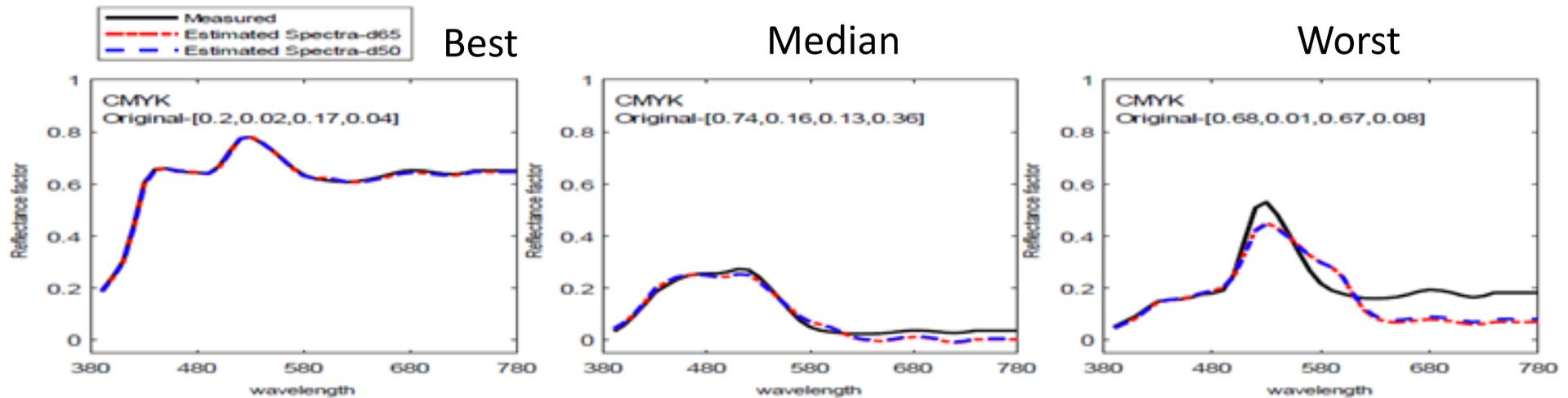
Estimate reflectance  
for test Dataset 1

Evaluate against the  
ground truth for test  
Dataset 1

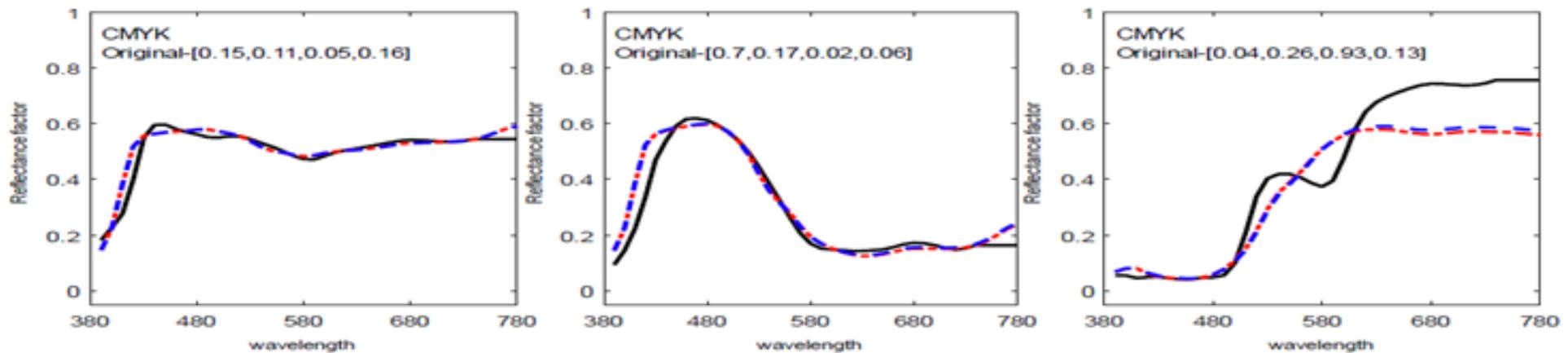




## Results



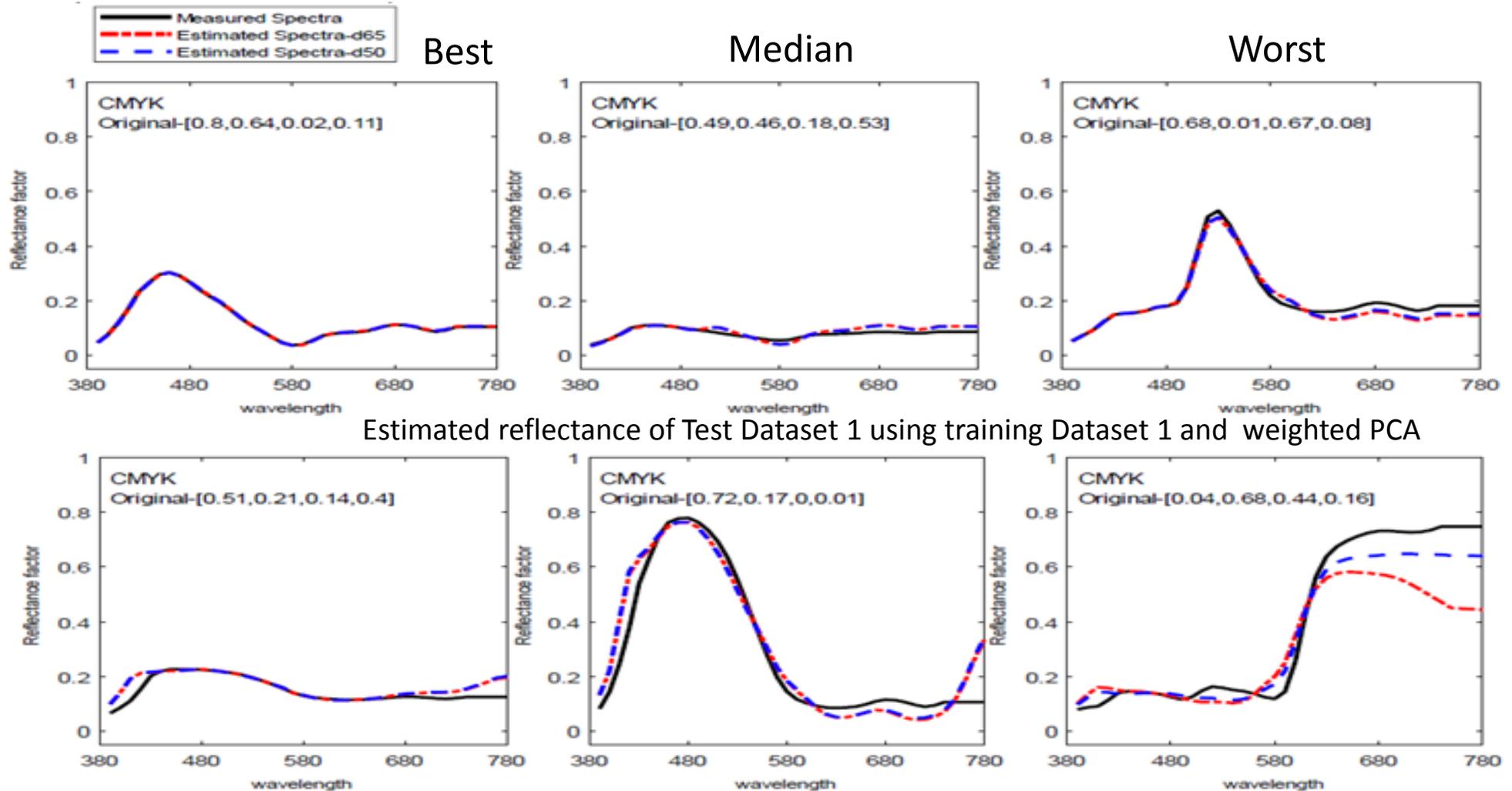
Estimated reflectance of Test Dataset 1 using training Dataset 1 and classical PCA



Estimated reflectance of Test Dataset 1 using training Dataset 2 and classical PCA



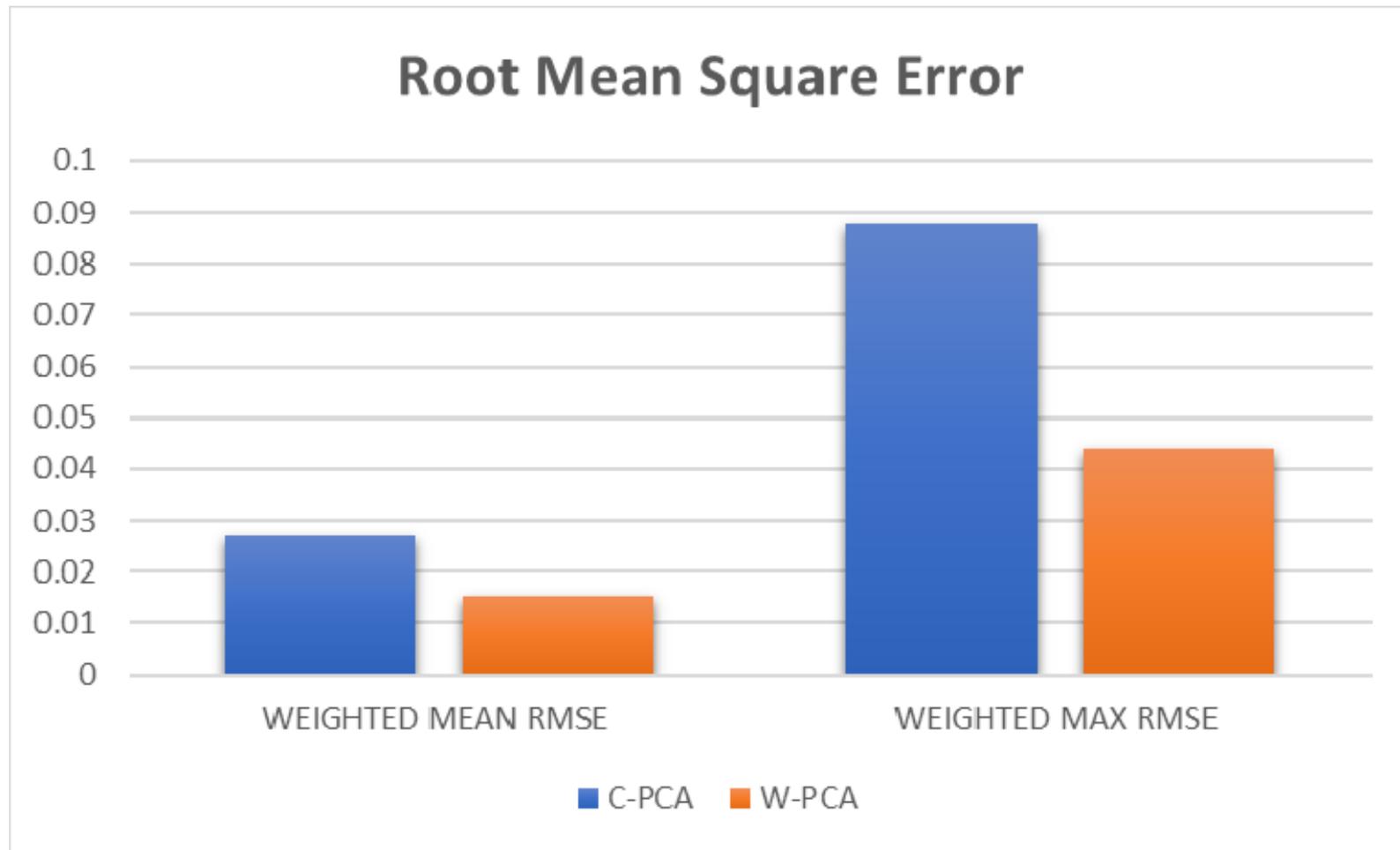
## Results



Estimated reflectance of Test Dataset 1 using training Dataset 2 and weighted PCA



# Analysis





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# Analysis

	WEIGHTED MEAN $\Delta E_{00}$			WEIGHTED MAX $\Delta E_{00}$		
	D65/D50	D65/C	D65/A	D65/D50	D65/C	D65/A
<b>C-PCA D65</b>	0.55	0.18	1.96	4.44	1.96	12.57
<b>C-PCA D50</b>	0.58	0.17	0.90	5.94	2.51	4.52
<b>W-PCA D65</b>	0.26	0.09	0.94	4.62	1.53	13.84
<b>W-PCA D50</b>	0.18	0.06	0.35	0.84	0.33	1.49

Metamerism Index



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# Analysis

To increase accuracy of the estimated reflectance

- the illuminant and cmf

chosen should be the same or closer to the illuminant and cmf used in the test XYZ.

# Chromatic Adaptation

- Chromatic Adaptation: “Visual process whereby approximate compensation is made for changes in the colors of stimuli, especially in the case of changes in illuminants.” [CIE, e-ILV]

Same scene under  
different Illuminants



Visual appearance

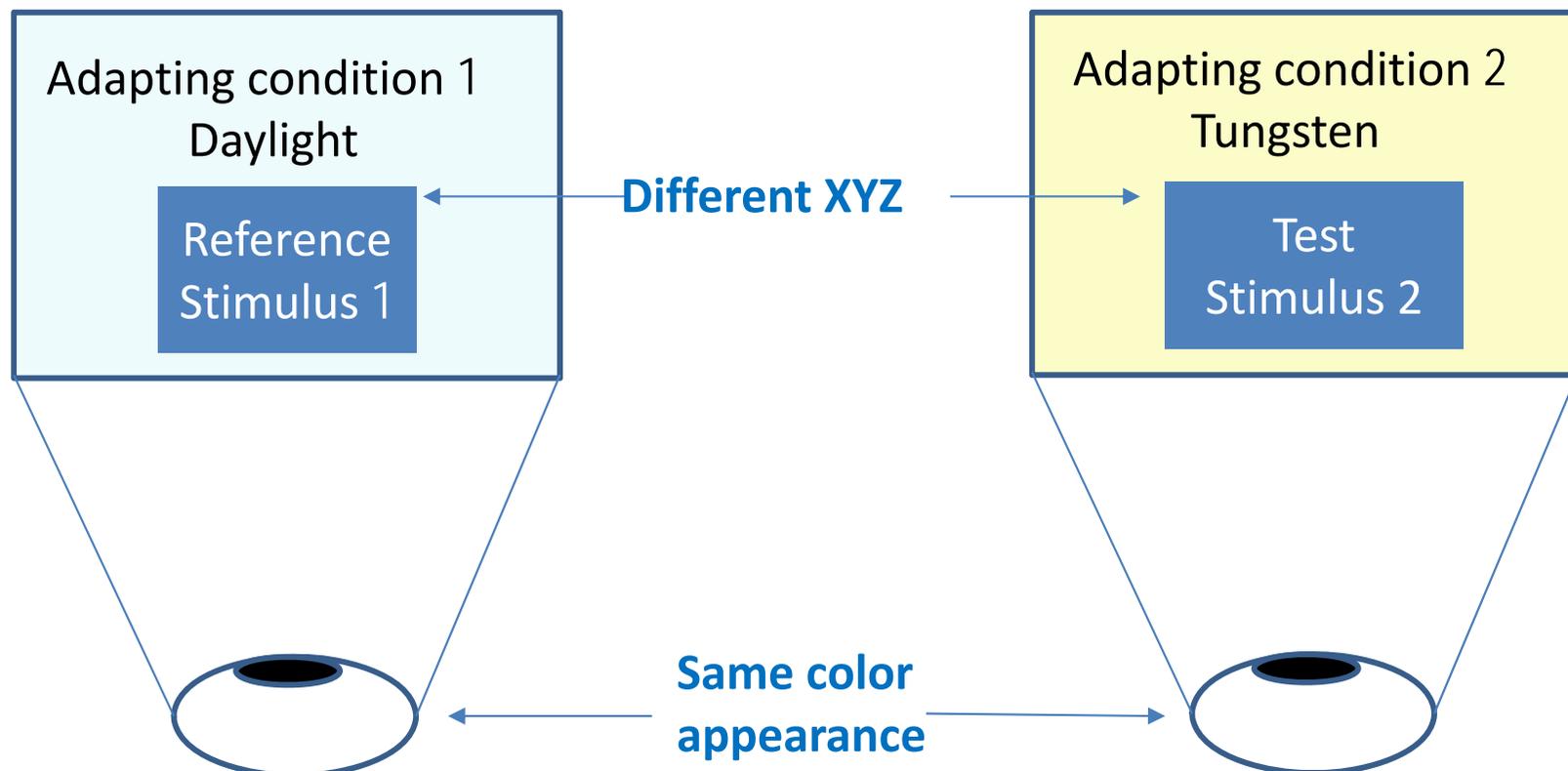




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# Corresponding colors

- Corresponding colors: colors that perceptually match under different adapting conditions.





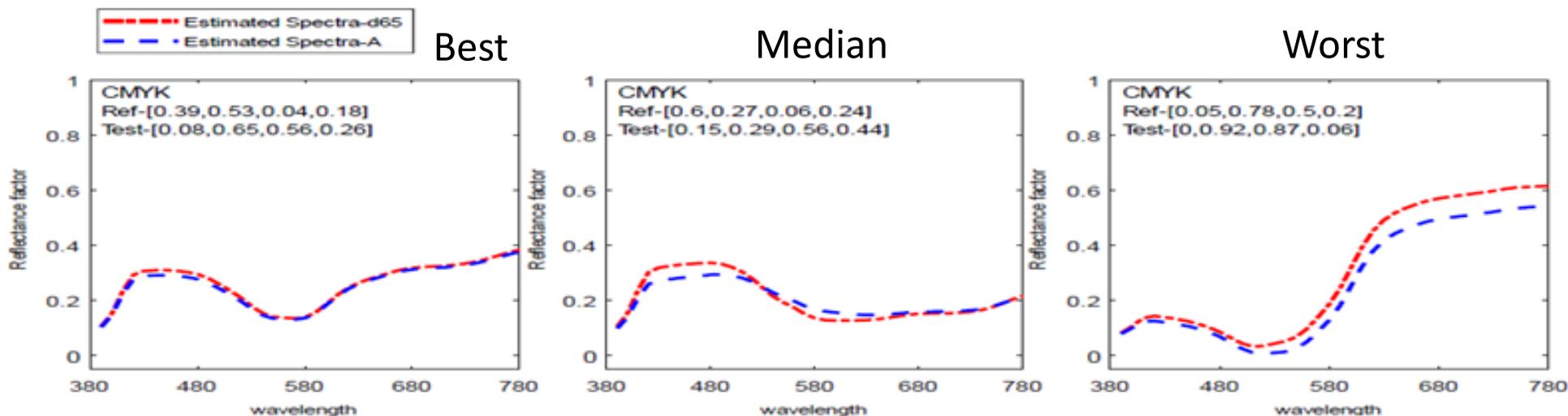
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# Spectral data of corresponding colors

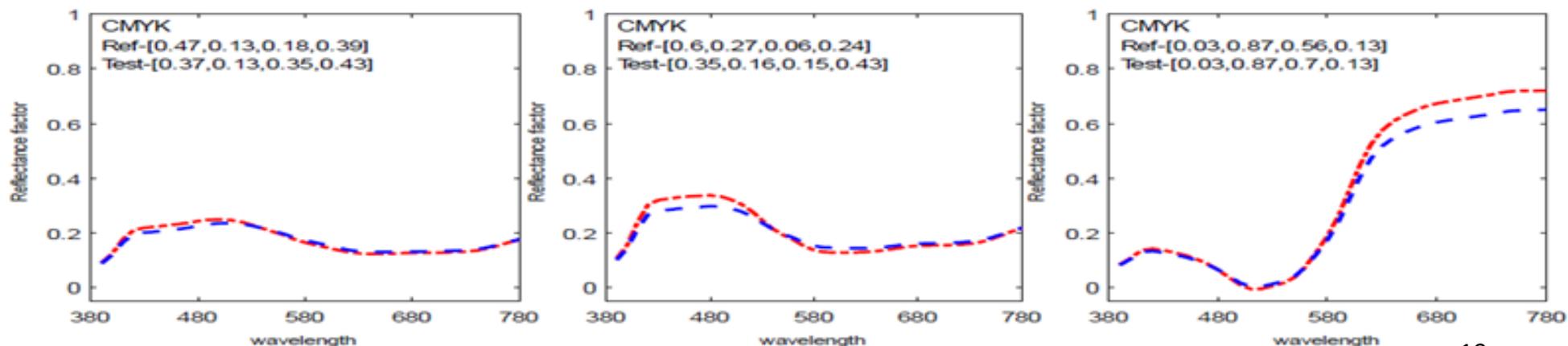
- Chromatic adaptation transform is performed on XYZ colorimetric data, so spectral data is not available.
- Our goal is to estimate from chromatically adapted XYZ data



## Result of Corresponding Color datasets



Estimated reflectances of Lutchi (A) using classical PCA

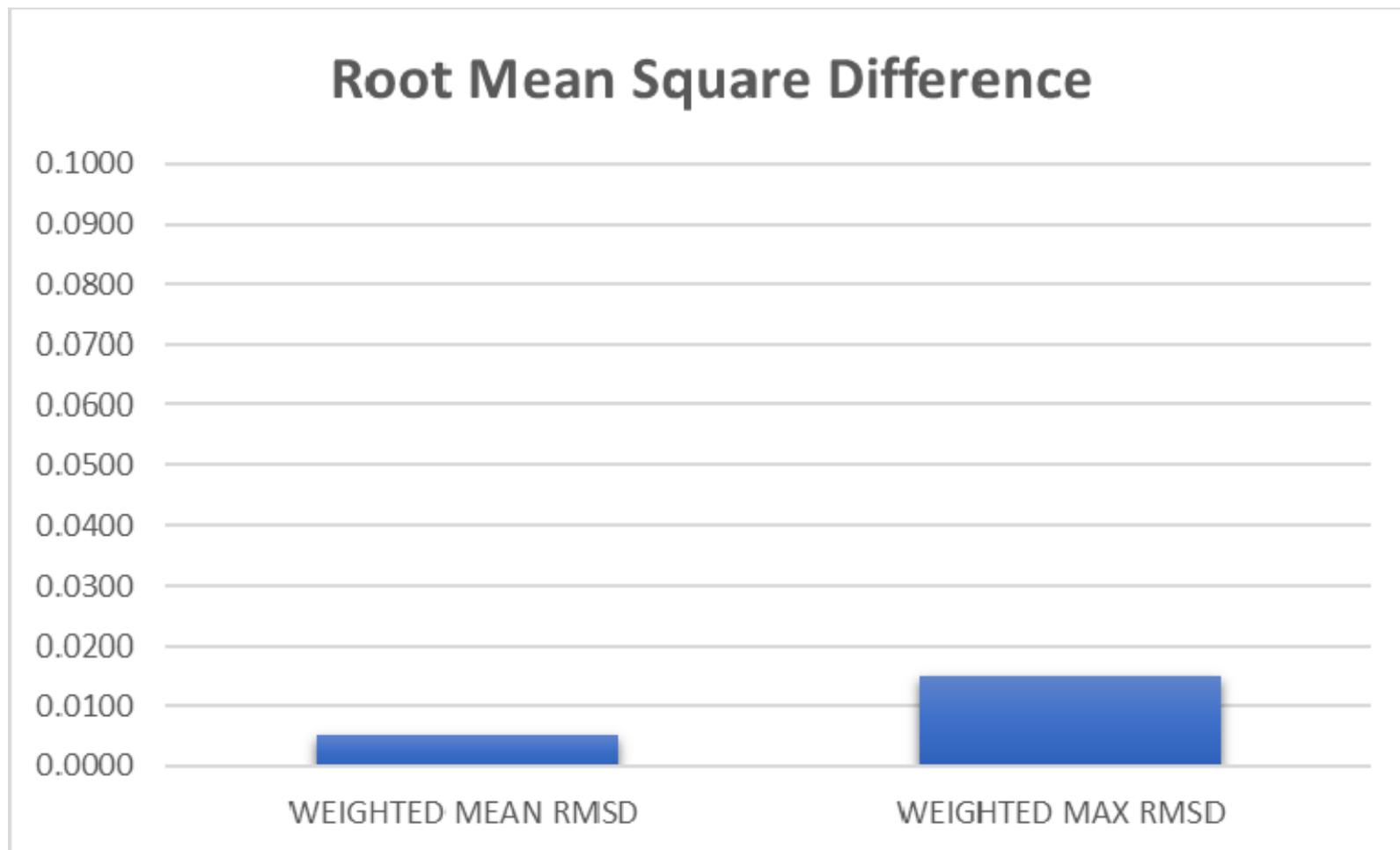


Estimated reflectances of Lutchi (D50) using classical PCA



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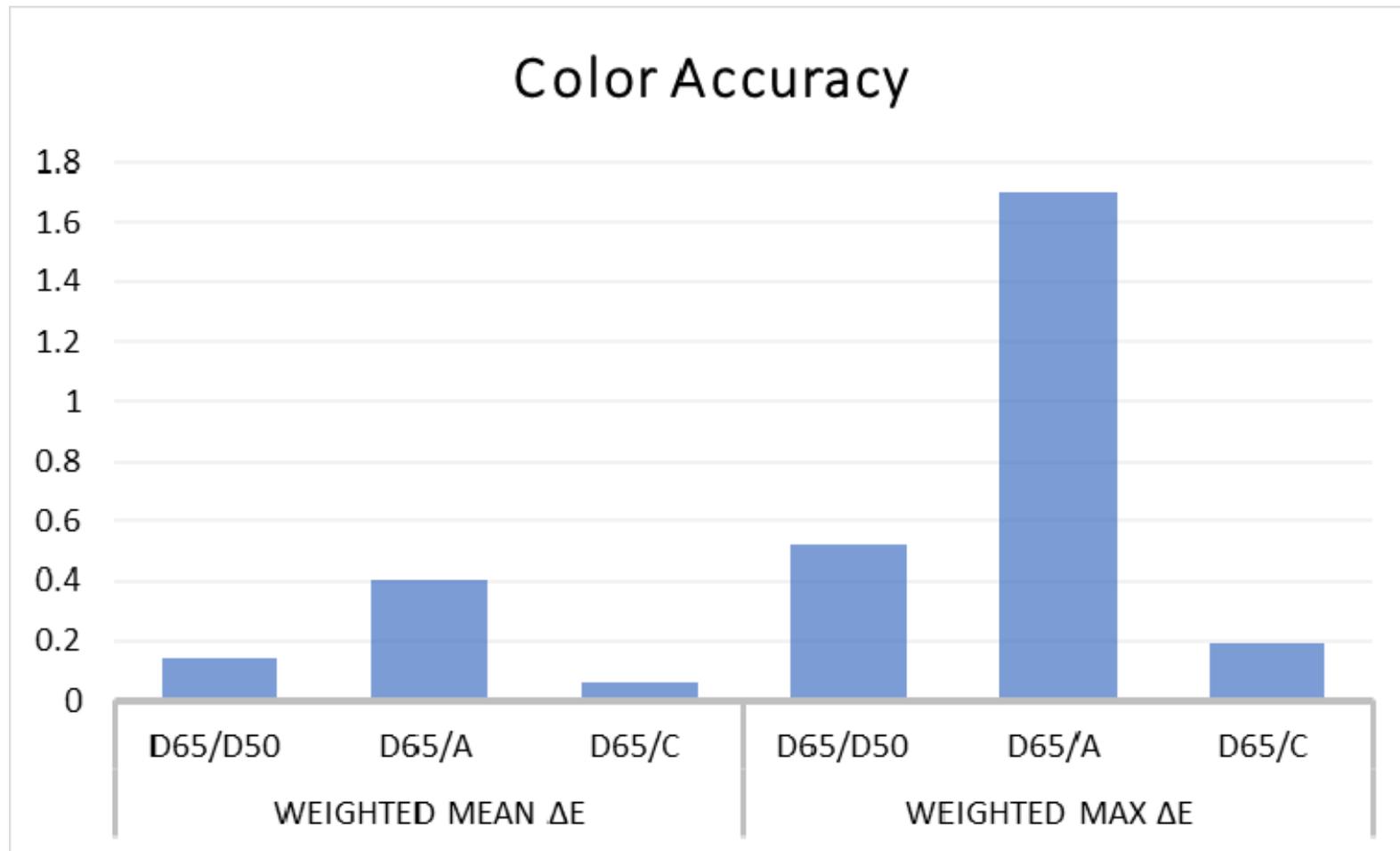
# Analysis





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# Analysis





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# Conclusion

- Spectral estimation can be done with good performance
- The reflectance database has to be selected carefully and should correspond well to the test material.
- Classical PCA can obtain spectral data from XYZ values efficiently with acceptable metamerism index values.
- Classical PCA is better than Weighted PCA is better but the computation cost is high.
- It is possible to get spectral estimates from chromatically adapted data

# ICC COLOR EXPERTS DAY

MAY 24, 2019

Colour Management for  
Wider-Format Printing  
on Non-Paper  
Substrates



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# Thank you for your attention

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